Council, 24 May 2017

Education Report

Executive summary and recommendations

Introduction

This report provides the Council with an update into the work of the Education Directorate in April 2017. Areas from the report to highlight are as follows:

- The number of approval cases already scheduled in this new financial year (2017-18) is unusually high compared to forecast (50 scheduled: 60 budget). The visit schedule is virtually fixed for quarters one and two and it has eleven more visits than forecast; a variance of +37%. If this trend continues into quarters three and four, there is a possibility that the year-end figures will be 15-30% higher than forecast.

- The Directorate has seen external stakeholder work in the area of higher and degree apprenticeships plateau in recent months, with our established connections allowing us to focus our time and efforts more effectively. This has created some opportunities for stakeholder work in other areas relevant to our future work.

Decision

The Council is asked to discuss the Education report

Appendices

Appendix 1 Education Narrative Report
Appendix 2 Education Management Information Pack

Date of paper
15 May 2017

Education Directorate – Management Commentary

The following provides an update about the work of the Education directorate in April 2017.

1. Approval process

- There were seven approval visits in April 2017 against a budgeted forecast of seven. In total we have 50 approval visits scheduled against a budgeted forecast of 60 in the 2017-18 financial year. This means that 85% of the budgeted forecast for the entire year is already scheduled, which is unprecedented for this time in the financial year. Five months of the financial year (42%) is still open for scheduling and this includes quarter four which is typically our second busiest quarter.

- Given this unusual position, a reforecast of the entire financial year has been undertaken. This now estimates 71 approval visits by year end compared to the original budgeted forecast of 60; an increase of 11 and variance of 18%.

- The approval visit schedule for the current 2016-17 academic year is currently at its peak period. There are now 61 approval visits scheduled within the 2016-17 academic year, which is an increase of 6 (11%) on the previous academic year (2015-16).

- The profession profile in the current 2016-17 academic year remains much more mixed than previous academic years. Programmes for biomedical scientists, paramedics, operating department practitioner, physiotherapist and practitioner psychologist programmes all have a noticeable presence.

- The reason for programmes being visited in the 2016-17 academic year is strongly in favour of new programmes. 60% of programmes to be visited are new proposals, with the remaining 40% to existing programmes due to significant change. The reasons behind both new proposals and changes to exiting provision remain very diverse.

2. Annual monitoring process

- There were 64 new annual monitoring submissions (40 audits and 24 declarations) received in April 2017, against a budgeted forecast of 55 (31 audits and 24 declarations). This increase of 9 submissions (16%) against forecast is mainly due to a number of late submissions from the previous month.

- There were two annual monitoring assessment days in April 2017, against a budgeted forecast of two. There were six audit submissions referred for consideration by correspondence in April 2017, against a budgeted forecast of one.

- On 30 April 2017, there were 276 active annual monitoring cases. This is just seven less than the previous month (2%). As there was no Education and Training Panel (ETP) meeting in April 2017 these figures distort the actual progression and completion of cases. Approximately 40% of these active cases are awaiting the next ETP meeting for a formal decision and case closure.

- The annual monitoring process for the current 2016-17 academic year is coming towards the end of its peak period. Activities were primarily concentrated over the six month period - December 2016 to May 2017. The activities transcend two financial years (2016-17 and 2017-18).

3. **Major change process**

- There were 18 new notifications in April 2017, against a budgeted forecast of 31. This is a decrease of 13 notifications against forecast (42%). There are no obvious reasons for this difference between forecast and actuals.

- There were 13 notifications referred to the full major change process in April 2017, against a budgeted forecast of 23. This is a decrease of 10 submissions against forecast (43%) and a direct consequent of the lower number of notifications. Approximately 25% of change notifications were channelled into the approval or annual monitoring for further consideration as expected still.

- On 30 April 2017, there were 68 active major change cases. This is just eleven more than the previous month (19%). Again, these figures are distorted by the lack of ETP meeting in April 2017. Approximately 45% of these active cases are awaiting the next ETP meeting for a formal decision and case closure.

- The major change process for the current 2016-17 academic year continues to be somewhat unpredictable. The number of notifications is generally higher than expected, but the increase is not steady; it fluctuates from month to month. The number of submissions is generally in line with the number expected, which means that a higher than expected number of notifications are not progressing into full major case submissions. This is a combination of channelling to approval or annual monitoring; cancellations and cases on hold.

4. **Concerns process**

- There were no new concern cases in April 2017, against a budgeted forecast of one.

- As of 30 April 2017, there were two active concerns; one at the submission stage and one at the investigations stage.

- The concerns process for the current 2016-17 academic year is broadly as expected in terms of overall numbers, however the number of concerns meeting our requirements for further investigation (60%) is higher than previous years.

5. Liaison with external stakeholders

- Members of the directorate met with the following external stakeholders in April 2017.

- Higher Education Funding Council for England (HEFCE)
  The Director of Education, Chair of Education & Training Committee and the Chair of Council met with the Head of Quality Team, Regulation & Assurance for the first time. Topics discussed included our approach to quality assurance, HEFCE's new approach to quality assessment in England and Northern Ireland, HEFCE's role and intentions for funding allied health profession programmes and in England the UK Healthcare Education Advisory Committee (UKHEAC).

- NHS Education for Scotland (NES)
  The Director of Education, Director of Policy & Standards, Director of Communications, Chief Executive and Chair of Council met with the executive team from NES. This is a regular and long standing meeting to keep each organisation up-to-date on developments in health and education in Scotland and professional regulation. Topics discussed included podiatric surgery, paramedic education, clinical scientist training and professional groups currently outside of statutory regulation.

- NHS Wales Shared Services Partnership (Directorate of Workforce, Education & Development)
  The Director of Education met with the Director of Workforce, Education & Development. This is a regular (six monthly) meeting to keep each organisation up-to-date on developments in funding and commissioning in Wales and professional regulation. Topics discussed included social worker regulation in England, apprenticeships, physician associates, paramedic education and service delivery in Wales and the establishment of Health Education Wales, including the transfer of the Directorate of Workforce, Education & Development into it.

- Office of the Independent Adjudicator (England & Wales) (OIA)
  The Director of Education and Head of Educational Development met with the Head of the Adjudication and Membership Manager for the first time. Topics discussed included our role as a regulator, the OIA's approach to and experience of student complaints, specifically around professional programmes, social worker regulation in England and our on-going relationship, including closer working and information sharing.

6. Systems

- An in house development process for our Microsoft Dynamics/SharePoint system has been agreed with IT. This will allow routine improvements without the need for external supplier involvement. The teams are working on the first series of changes since the system went live in 2015.

- Our Microsoft Dynamics/SharePoint system has been updated (part of a major project) to reflect recent legislative and policy changes linked to

medicine legislation (e.g. use by orthoptists of exemptions, renaming of local anaesthetics and prescription only medicine).

7. **Employees**

- Two employees resigned in April 2017; the PA to the Director (Liz Johnson) and an Education Officer (Alex Urquhart). Alex has moved internally to the Communications Department and Liz has decided not to return after her maternity leave. Both positions were filled immediately by members of the Department who were on fixed term contracts (Bernadette Wilby, PA and Niall Gooch, Education Officer). This has left a vacant Education Officer role for three months as Amal Hussein is on sabbatical. A mixture of temporary administrative agency resource and overtime will be used over this period.

hcpc health & care professions council

Education Directorate
Management Information Pack

Abigail Gorringe
Director of Education

Activity in April 2017

Contents

Number of approved programmes, by profession April 2016 - March 2018

— Total approved programmes

— Total approved education providers

Profession/entitlement	2016 Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	2017 Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	2018 Jan	Feb	Mar
Arts therapists	31	31	30	30	29	29	29	29	28	28	28	28	28											
Biomedical scientists	65	65	63	63	62	62	62	62	62	62	60	60	60											
Chiropodists/ Podiatrists	20	20	20	20	19	19	19	19	17	17	17	17	17											
Clinical scientists	3	3	3	3	3	3	3	3	3	3	3	3	3											
Dietitians	32	32	32	32	32	32	32	32	32	32	32	32	32											
Hearing aid dispensers	22	22	22	20	20	20	20	19	19	19	19	18	18											
Occupational therapists	70	71	71	71	70	70	69	69	68	68	67	68	68											
Operating Department Practitioners	38	37	37	38	38	38	37	37	37	35	35	34	34											
Orthoptists	3	3	3	3	3	3	3	3	3	3	3	3	3											
Paramedics	77	77	75	76	77	78	75	74	77	77	76	73	73											
Physiotherapists	71	70	70	70	71	71	71	71	72	72	71	71	72											
Practitioner psychologists	102	103	101	101	101	101	101	102	104	104	105	102	102											
Prosthotists/Orthotists	2	2	2	2	2	2	2	2	2	2	2	2	2											
Radiographers	52	53	52	52	54	54	54	54	54	54	54	54	54											
Social workers in England	242	243	242	250	251	253	253	252	252	249	252	252	252											
Speech and language therapists	34	34	34	34	34	34	33	33	33	33	33	34	34											
Prescription only medicines - administration	4	4	4	4	4	4	4	4	4	4	4	4	4											
Prescription only medicines – sale / supply	8	8	7	7	7	7	7	6	6	6	6	6	6											
Supplementary prescribing	53	52	52	52	52	52	52	52	52	52	52	51	51											
Independent prescribing	93	94	94	94	94	96	95	94	94	96	96	95	95											
Orthoptists - medicine exemptions																								
Approved mental health professionals	34	36	32	32	32	32	32	32	32	32	32	32	32											
Podiatric surgery																								
Total approved programmes	1,056	1,060	1,046	1,054	1,055	1,060	1,053	1,049	1051	1,048	1,047	1,039	1,040											
Total approved education providers	150	151	147	147	148	149	147	146	146	146	146	145	145											

| Profession/entitlement	2011/12 FYE	2012/13 FYE	2013/14 FYE	2014/15 FYE	2015/16 FYE	2016/17 FYE	2017/18 YTD
Arts therapists	21	29	30	28	31	28	60
Biomedical scientists	49	60	57	55	65	60	17
Chiropodists/ Podiatrists	20	18	17	16	20	17	3
Clinical scientists	1	3	3	3	3	3	32
Dietitians	33	32	32	32	32	32	18
Hearing aid dispensers	18	15	18	20	22	18	68
Occupational therapists	74	73	70	69	70	68	34
Operating Department Practitioners	34	35	34	34	38	34	3
Orthoptists	3	3	3	3	3	3	73
Paramedics	50	50	49	53	77	73	72
Physiotherapists	67	65	69	69	71	71	102
Practitioner psychologists	95	91	91	93	102	102	2
Prosthotists/Orthotists	3	3	3	3	2	2	54
Radiographers	56	53	51	50	51	54	252
Social workers in England		231	223	221	242	252	34
Speech and language therapists	32	31	30	31	34	34	4
Prescription only medicines - administration	2	4	4	4	4	4	6
Prescription only medicines – sale / supply	9	9	10	9	8	6	51
Supplementary prescribing	77	77	62	59	53	51	95
Independent prescribing			86	89	93	95	32
Orthoptists - medicine exemptions							
Approved mental health professionals		27	26	26	34	32	32
Podiatric surgery							
Total approved programmes	644	909	968	967	1,055	1,039	1,040
Total approved education providers	128	150	151	143	150	145	145

Overview of approval visits April 2016 - March 2018
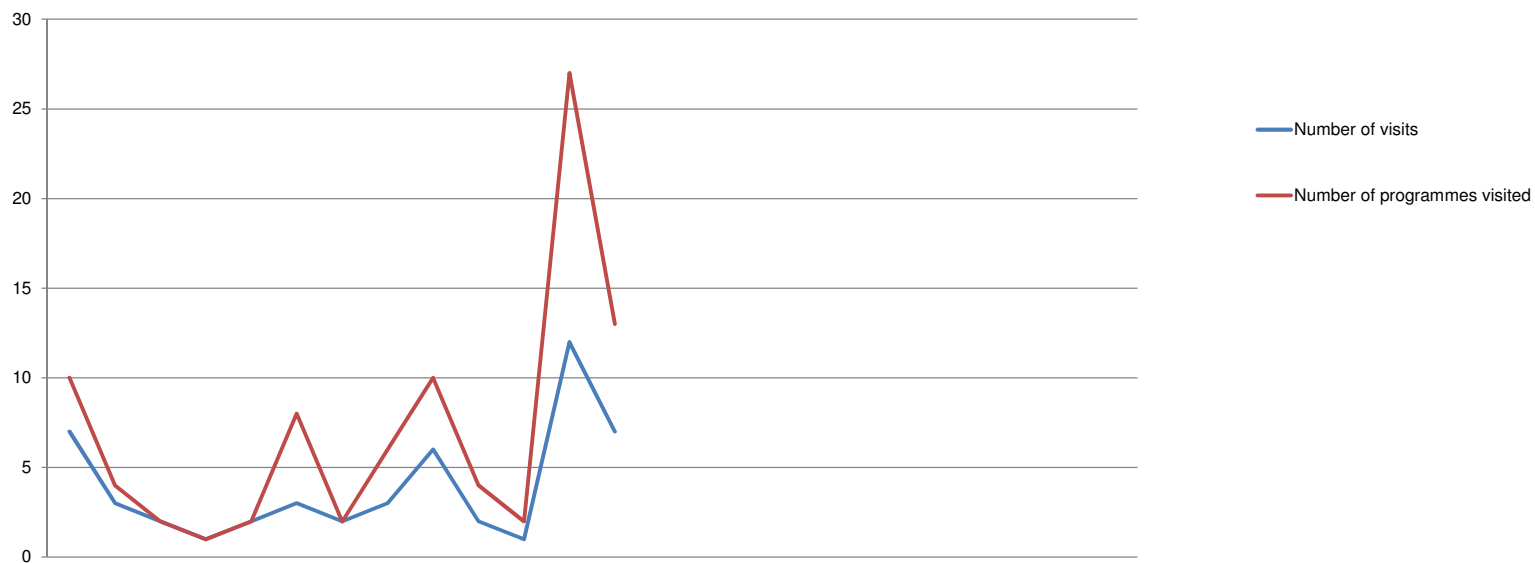
Overview of approval visits	2016									2017												2018		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Number of visits	7	3	2	1	2	3	2	3	6	2	1	12	7											
Number of programmes visited	10	4	2	1	2	8	2	6	10	4	2	27	13											

2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18
FYE	FYE	FYE	FYE	FYE	FYE	YTD
59	56	66	69	59	44	7
112	103	170	132	89	78	13

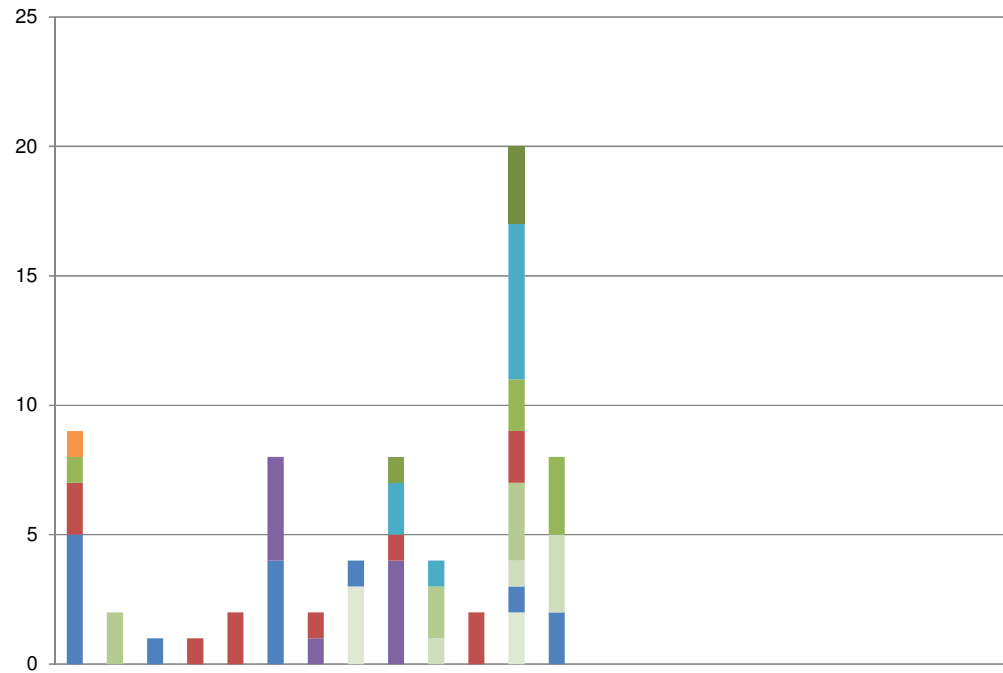## Reasons for approval visits April 2016 - March 2018
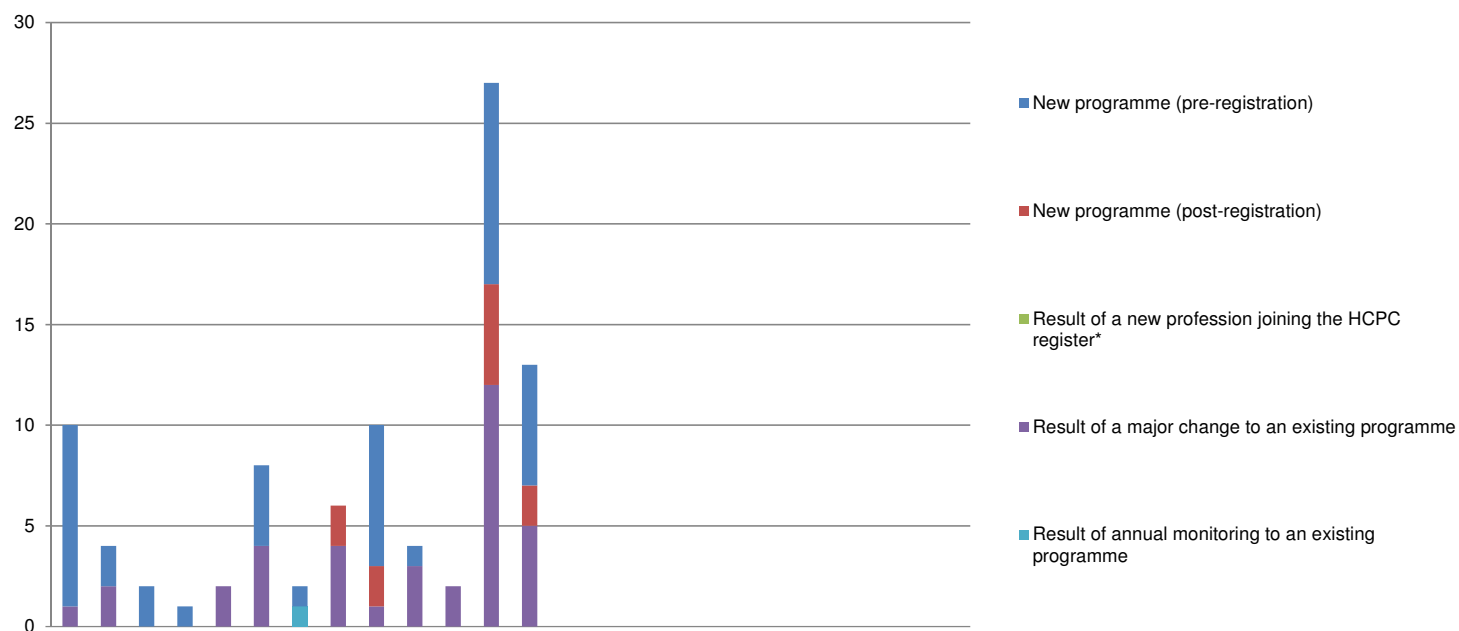
Reason for programme visited	2016									2017														2018
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
New programme (pre-registration)	9	2	2	1	0	4	1	0	7	1	0	10	6											
New programme (post-registration)	0	0	0	0	0	0	0	2	2	0	0	5	2											
Result of a new profession joining the HCPC register*	0	0	0	0	0	0	0	0	0	0	0	0	0											
Result of a major change to an existing programme	1	2	0	0	2	4	0	4	1	3	2	12	5											
Result of annual monitoring to an existing programme	0	0	0	0	0	0	1	0	0	0	0	0	0											
Total	10	4	2	1	2	8	2	6	10	4	2	27	13											

	2011/12 FYE	2012/13 FYE	2013/14 FYE	2014/15 FYE	2015/16 FYE	2016/17 FYE	2017/18 YTD
	17	30	29	37	42	37	6
	2	1	1	2	1	9	2
	34	51	125	77	17	0	0
	57	21	15	16	29	31	5
	2	0	0	0	0	1	0
	112	103	170	132	89	78	13

* Practitioner Psychologists, July 2009 - July 2012
  Hearing Aid Dispensers, April 2010 - July 2012
  Social workers in England, August 2012 - July 2015
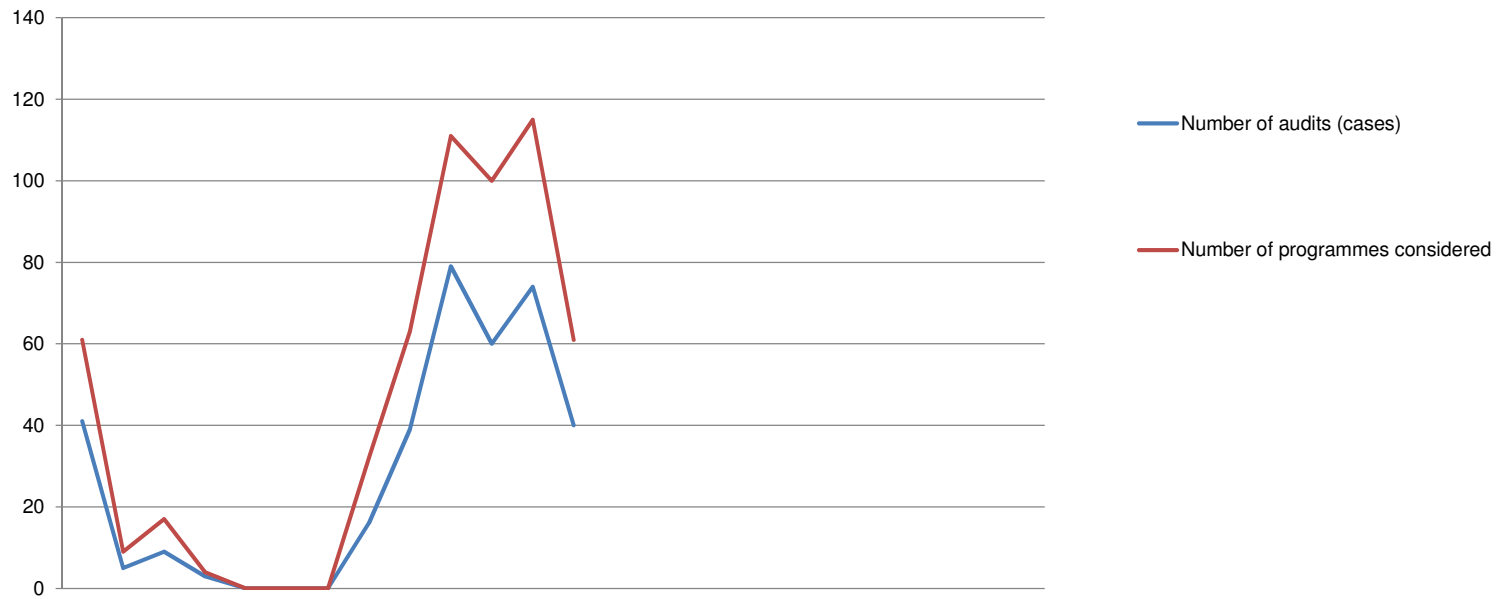 Approved Mental Health Professionals, September 2013 - July 2015

Approval visits, by profession, April 2016 - March 2018

Profession/entitlement	2016 Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	2017 Jan	Feb	Mar	2018 Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	2015/16 FYE	2016/17 FYE	2017/18 YTD
Arts therapists	0	0	0	0	0	0	0	0	0	0	0	0	0												2	0	0
Biomedical scientists	1	0	0	0	0	0	0	0	0	0	0	0	0												4	1	0
Chiropodists/ Podiatrists	0	0	0	0	0	0	0	0	0	0	0	3	0												2	3	0
Clinical scientists	0	0	0	0	0	0	0	0	0	0	0	0	0												1	0	0
Dietitians	0	0	0	0	0	0	0	0	1	0	0	0	0												0	1	0
Hearing aid dispensers	0	0	0	0	0	0	0	0	0	0	0	0	0												1	0	0
Occupational therapists	0	0	0	0	0	0	0	0	2	1	0	6	0												5	9	0
Operating Department Practitioners	1	0	0	0	0	0	0	0	0	0	0	2	3												3	3	3
Orthoptists	0	0	0	0	0	0	0	0	0	0	0	0	0												0	0	0
Paramedics	2	0	0	1	2	0	1	0	1	0	2	2	0												27	11	0
Physiotherapists	0	2	0	0	0	0	0	0	0	2	0	3	0												3	7	0
Practitioner psychologists	0	0	0	0	0	4	1	0	4	0	0	0	0												6	9	0
Prosthotists/Orthotists	0	0	0	0	0	0	0	0	0	0	0	0	0												0	0	0
Radiographers	0	0	0	0	0	0	0	0	0	1	0	1	3												3	2	3
Social workers in England	5	0	1	0	0	4	0	1	0	0	0	1	2												27	12	2
Speech and language therapists	0	0	0	0	0	0	0	3	0	0	0	2	0												0	5	0
Prescription only medicines - administration	0	0	0	0	0	0	0	0	0	0	0	1	0												0	1	0
Prescription only medicines – sale / supply	0	0	0	0	0	0	0	0	0	0	0	1	0												0	1	0
Supplementary prescribing	1	1	0	0	0	0	0	0	0	0	0	0	1												0	2	1
Independent prescribing	0	1	1	0	0	0	0	2	0	0	0	2	4												0	6	4
Approved mental health professionals	0	0	0	0	0	0	0	0	0	0	0	3	0												4	3	0
Podiatric surgery	0	0	0	0	0	0	0	0	2	0	0	0	0												1	2	0
Total - pre-registration	9	2	1	1	2	8	2	4	8	4	2	20	8	0	0	0	0	0	0	0	0	0	0	0	84	63	8
Total - post-registration	1	2	1	0	0	0	0	2	2	0	0	7	5	0	0	0	0	0	0	0	0	0	0	0	5	15	5
Grand total	10	4	2	1	2	8	2	6	10	4	2	27	13	0	0	0	0	0	0	0	0	0	0	0	89	78	13

Overview of annual monitoring audit submissions, April 2016 - March 2018

Type of submission	2016							2017						2018											
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	
Number of audits (cases)	41	5	9	3	0	0	0	16	39	79	60	74	40												
Number of programmes considered	61	9	17	4	0	0	0	32	63	111	100	115	61												

| 2011/12 FYE	2012/13 FYE	2013/14 FYE	2014/15 FYE	2015/16 FYE	2016/17 FYE	2017/18 YTD
163	199	222	209	223	326	40
206	282	268	286	317	512	61

Overview of annual monitoring declarations, April 2016 - March 2018

Type of submission	2016							2017															2018		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Number of declarations (cases)	37	7	21	5	0	0	15	36	32	40	53	45	24											
Number of programmes considered	39	7	28	6	0	0	19	48	46	60	74	68	39											

2011/12 FYE	2012/13 FYE	2013/14 FYE	2014/15 FYE	2015/16 FYE	2016/17 FYE	2017/18 YTD
232	199	222	231	370	291	24
249	267	297	316	468	395	39

Overview of major change submissions, April 2016 - March 2018
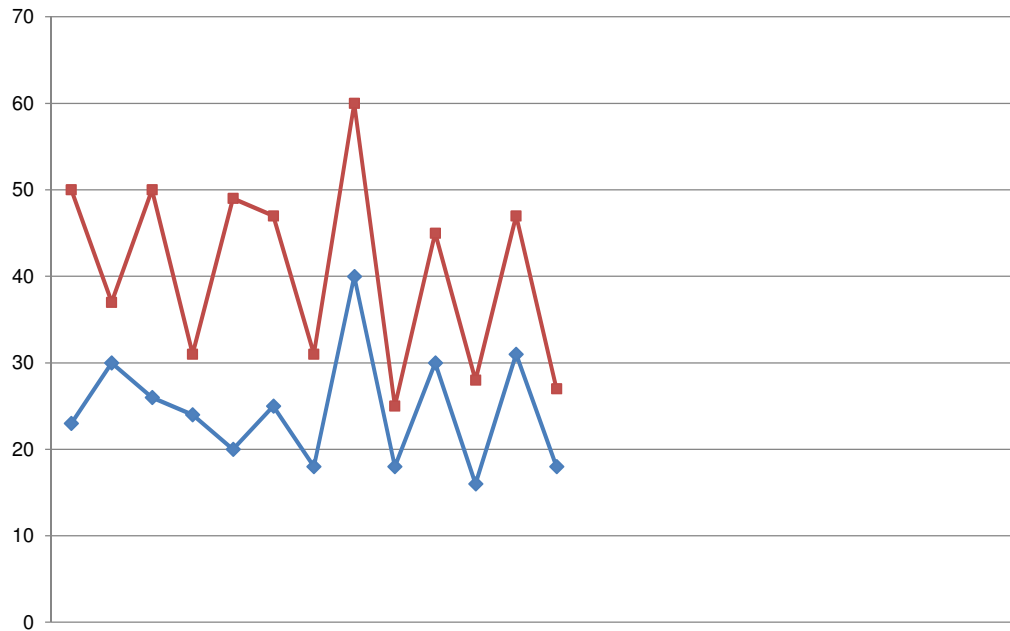
Overview of notifications	2016									2017													2018		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Number of notifications (cases)	23	30	26	24	20	25	18	40	18	30	16	31	18											
Number of programmes considered	50	37	50	31	49	47	31	60	25	45	28	47	27											

2011/12 FYE	2012/13 FYE	2013/14 FYE	2014/15 FYE	2015/16 FYE	2016/17 FYE	2017/18 YTD
174	146	157	200	277	301	18
311	266	250	398	430	500	27
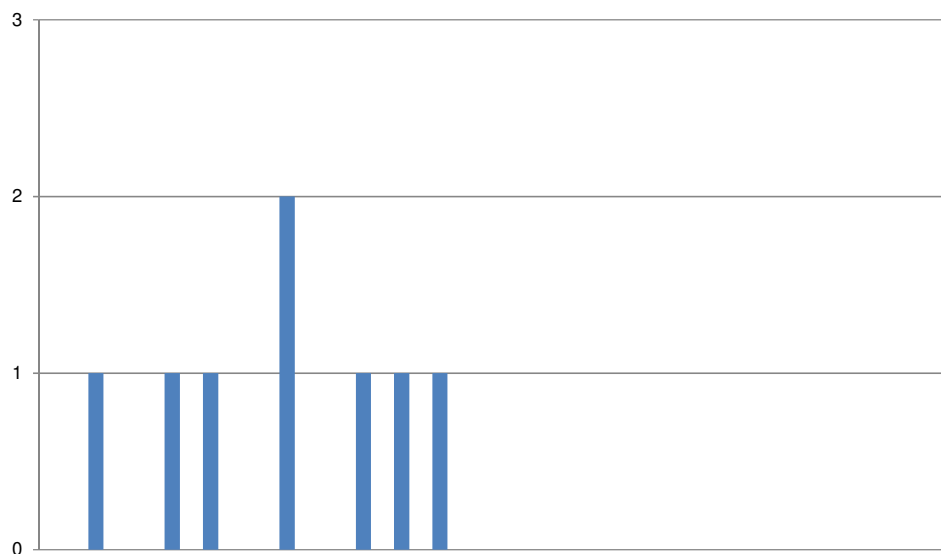
Overview of concerns about approved programmes, April 2016 - March 2018

■ Number of concerns received

	2016						2017						2018											
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Number of concerns received	0	1	0	1	1	0	2	0	1	1	1	0	0											

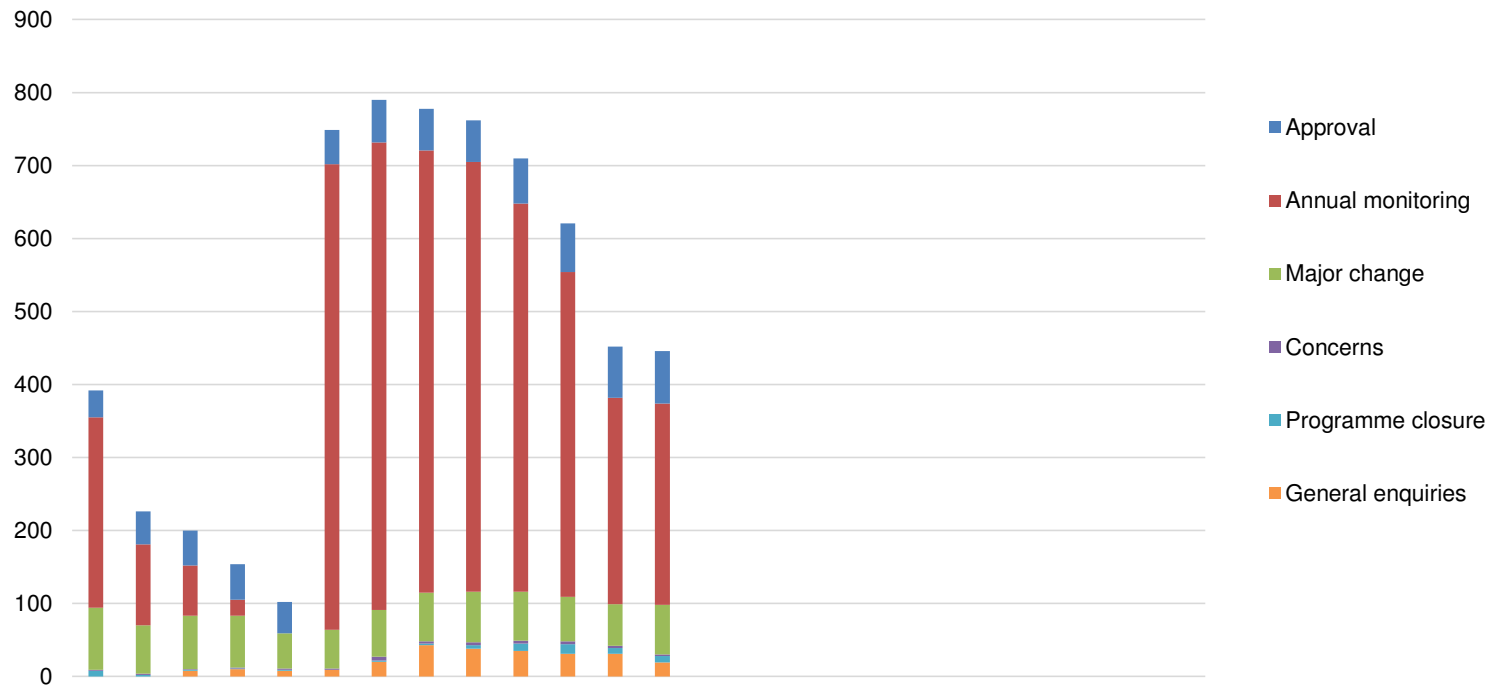|	2011/12 FYE	2012/13 FYE	2013/14 FYE	2014/15 FYE	2015/16 FYE	2016/17 FYE	2017/18 YTD
	5	6	5	8	4	8	0
Total number of approved progs	644	909	968	967	1,055	1,039	1,040
% programmes affected by concerns	0.8%	0.7%	0.5%	0.8%	0.4%	0.8%	0.0%

Outcome of process	2016						2017						2018											
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Directed visit	0	0	0	0	0	0	0	0	0	0	0	0	0											
Approval process	0	0	0	0	0	0	0	0	0	0	0	0	0											
Major change process	0	0	0	0	0	0	0	0	0	0	0	0	0											
Annual monitoring process	0	0	0	0	0	0	0	0	0	0	0	0	0											
Withdrawn	0	0	0	0	0	0	0	0	0	1	0	0	0											
Unsubstantiated	0	0	0	1	1	0	2	0	1	0	0	0	0											
Pending	0	1	0	0	0	0	0	0	0	0	1	0	0											

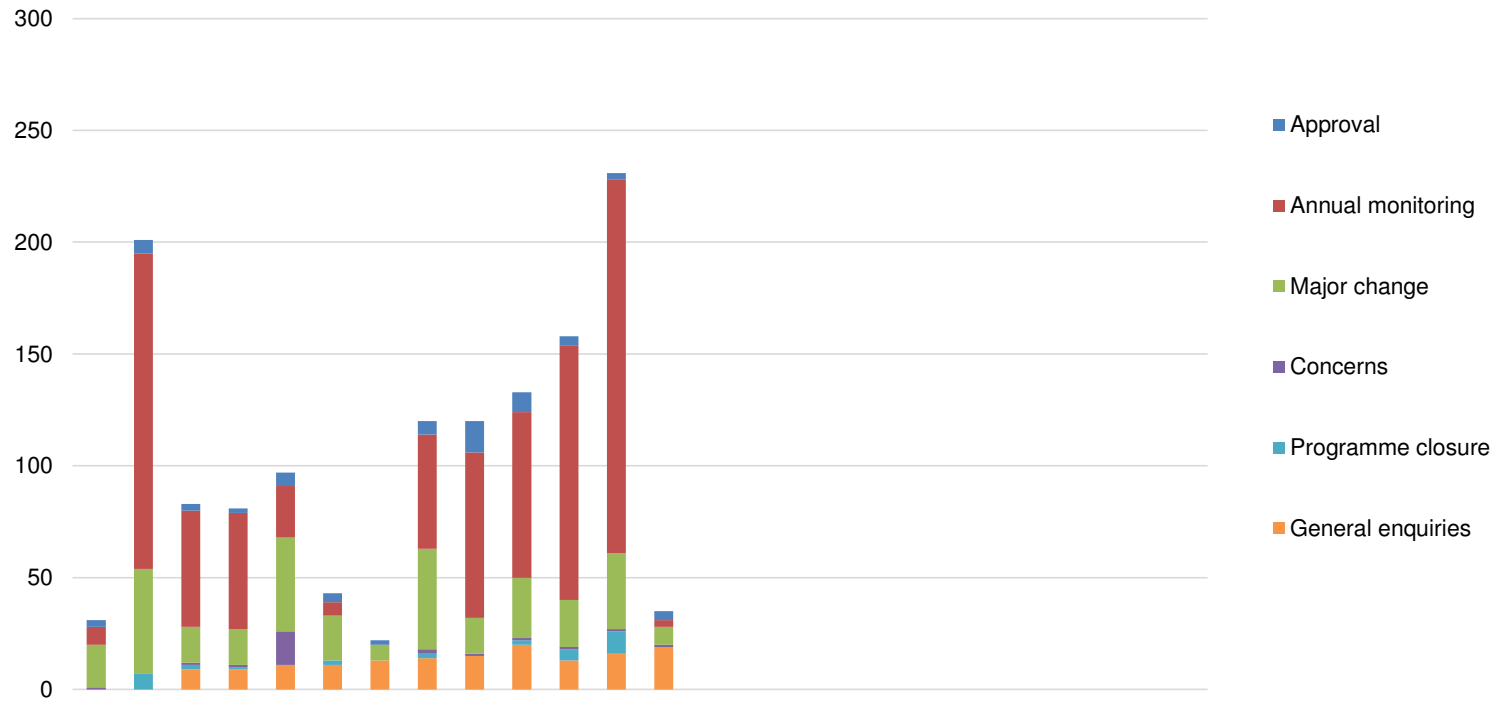|	2011/12 FYE	2012/13 FYE	2013/14 FYE	2014/15 FYE	2015/16 FYE	2016/17 FYE	2017/18 YTD
Directed visit	0	0	0	1	0	0	0
Approval process	1	0	1	0	1	0	0
Major change process	1	0	0	0	0	0	0
Annual monitoring process	0	0	0	0	0	0	0
Withdrawn	0	0	0	0	1	1	0
Unsubstantiated	3	6	4	7	2	5	0
Pending	0	0	0	0	0	2	0

Overview of workload, Number of active cases, April 2016 - March 2018

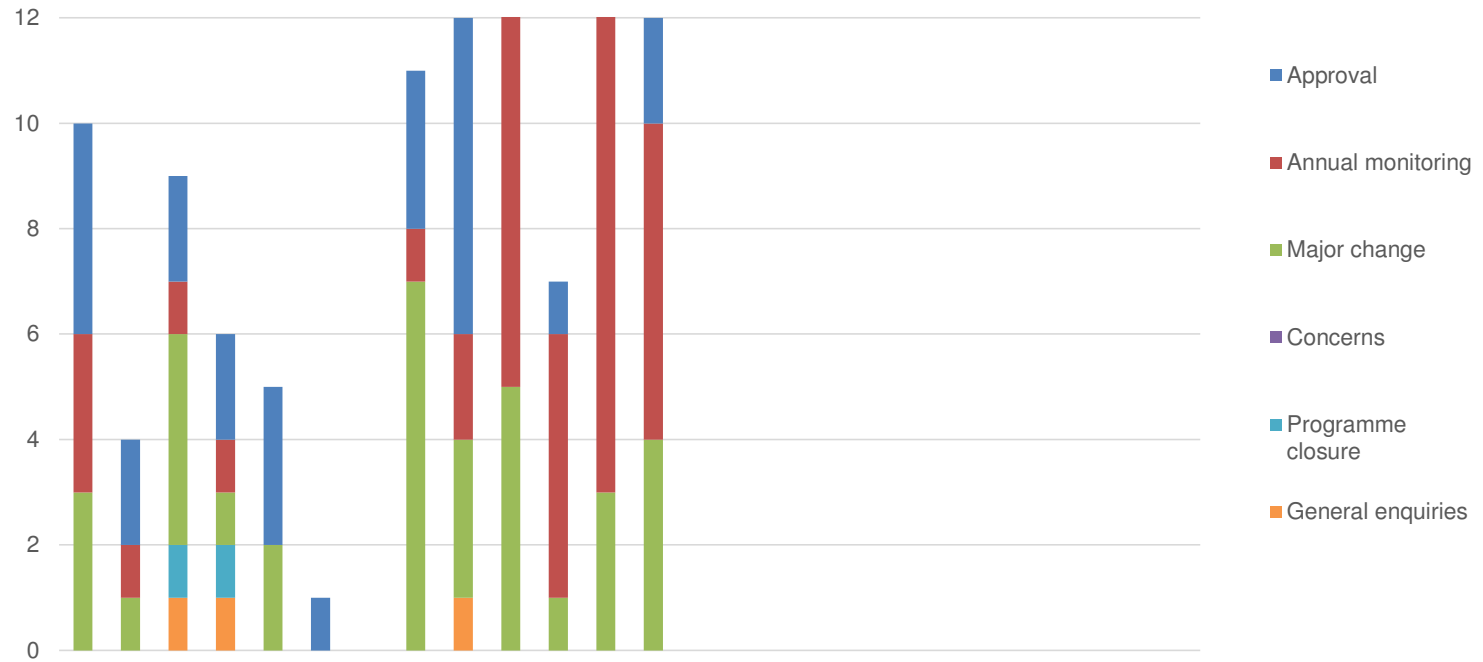| Work area	2016					2017							2018												2015/16	2016/17	2017/18
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	FYE	FYE	YTD
Approval	37	45	48	49	43	47	58	57	57	62	67	70	72												34	70	72
Annual monitoring	261	111	69	22	0	638	641	606	589	532	445	283	276												264	283	276
Major change	85	66	73	71	48	53	64	67	69	67	61	57	68												69	57	68
Concerns	1	2	1	1	2	2	5	3	4	4	4	3	2												2	3	2
Programme closure	8	2	1	1	1	0	2	2	5	10	13	8	9												4	8	9
General enquiries		8	10	8	9	20	43	38	35	31	31	19														31	19
Total	392	226	200	154	102	749	790	778	762	710	621	452	446												373	452	446

Overview of workload, Number of resolved cases, April 2016 - March 2018

Work area	2016							2017						2018												2015/16	2016/17	2017/18
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar		FYE	FYE	YTD
Approval	3	6	3	2	6	4	2	6	14	9	4	3	4													133	62	4
Annual monitoring	8	141	52	52	23	6	0	51	74	74	114	167	3													928	762	3
Major change	19	47	16	16	42	20	7	45	16	27	21	34	8													306	310	8
Concerns	1	0	1	1	15	0	0	2	1	1	1	1	1													9	24	1
Programme closure	0	7	2	1	0	2	0	2	0	2	5	10	0													38	31	0
General enquiries			9	9	11	11	13	14	15	20	13	16	19														131	19
Total	31	201	83	81	97	43	22	120	120	133	158	231	35													1,414	1,320	35

Overview of workload, Number of cancelled cases, April 2016 - March 2018

Work area	2016							2017															2018				2015/16	2016/17	2017/18
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar		FYE	FYE	YTD
Approval	4	2	2	2	3	1	0	3	6	2	1	1	2													28	27	2
Annual monitoring	3	1	1	1	0	0	0	1	2	8	5	10	6													18	32	6
Major change	3	1	4	1	2	0	0	7	3	5	1	3	4													25	30	4
Concerns	0	0	0	0	0	0	0	0	0	0	0	0	0													1	0	0
Programme closure	0	0	1	1	0	0	0	0	0	0	0	0	0													1	2	0
General enquiries			1	1	0	0	0	1	0	0	0	0	0														3	0
Total	10	4	9	6	5	1	0	11	12	15	7	14	12													73	94	12