

Audit and Risk Assurance Committee, 20 September 2023

Matters Arising

Executive summary and recommendations

Attached is an actions list as agreed at the last public meeting of the Audit and Risk Assurance Committee (ARAC).

14 June 2023		
1	<p>Item 6. Matters arising (report ref: ARAC 16/23)</p> <p>6.1 In response to a question relating to action 1, the Executive clarified that the strategic risk headlines remained the same and the updated Risk Appetite would be reflected throughout the Strategic Risk Register by the September meeting.</p>	<p>Executive:</p> <p>The risk appetite paper is being discussed at the September and will go to Council for approval. Once approved the SRR will be updated to reflect the new risk appetite.</p>
2	<p>Item 7. Strategic Risk Register (report ref: ARAC 17/23)</p> <p>7.10 The Committee thanked the Head of IT and Digital for the useful overview of the risks the team was helping to manage. The Committee agreed that a future deep dive should be in relation to the Digital Strategy and any changes of its vision.</p>	<p>Executive:</p> <p>In further discussions with the Executive, the Chair of ARAC agreed an alternative approach. The People and Resources Committee (PRC) would review the Digital Strategy with the Chair of ARAC in attendance, who would then consider what consideration of the Digital Strategy might potentially also be needed at ARAC, through more of a risk lens.</p> <p>The Digital Strategy is listed on the PRC forward planner for November 2023.</p>
3	<p>Item 9.iv. Papers from the HCPC's Internal Auditors, BDO LLP – Internal Audit - Internal Audit Plan 2023-24 progress report (report ref: ARAC 22/23)</p>	<p>Executive:</p> <p>BDO have provided an update in their progress</p>

	<p>9.iv.3 The Committee noted the report and the change to the plan. The Chair of Committee noted the outcomes of the Business Transformation workshops provided at the end of the report and would highlight those to other Council members. The actions would be taken forward and reviewed by the Change and Benefits forum and BDO would follow up with progress reported to the Committee.</p>	<p>report and will provide a benefits review to the Committee later in the year.</p>
	<p>Item 10. Public Summary: Unified Assurance Framework 2022-23 (report ref: ARAC 23/23)</p> <p>10.3 The Committee welcomed the new annual public report noting that it delivered a short, clear and positive message and was timely prior to the production of the Annual Report and Accounts. The Committee agreed to receive the public report in future years at its June meeting and for that to be added to the Committee's forward planner.</p>	<p>Executive:</p> <p>The annual public summary of the Unified Assurance Framework has been added to the Committee's forward planner.</p>
	<p>Item 12. Annual report and Accounts 2022-2023 update</p> <p>12.2 The Executive was planning to secure approval and sign off at the September Committee meeting in line with the plan and was grateful to the external audit team for the joint planning and work being undertaken. The Executive would check and revert to the Committee if any complications with the timetable arose, noting factors such as the parliamentary recess period.</p> <p>12.3 The Executive noted they would circulate a draft Annual Report and Accounts to the Committee over the summer for informal feedback, prior to the September Committee meeting.</p>	<p>Executive:</p> <p>12.2 First, provisional laying date is 15 October dependent on the completion of the external audit.</p> <p>12.3 The draft Annual Report and Accounts was circulated to members in August 2023.</p>
	<p>Item 17. Date and time of next meeting:</p> <p>17.2 The Committee and all present noted that the next meeting would be held in person at the HCPC's Park House premises.</p>	<p>Executive:</p> <p>Due to logistical considerations, the in-person meeting has reverted to being online and the date moved to</p>

		20 September 2023. The Chair of Committee has requested that the Committee's June 2024 meeting be held in person.
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Decision

The Committee is requested to note the document. No decision is required.

Background information

Please refer to individual papers and minutes for the background to decisions.

Resource implications

None

Financial implications

None

Appendices

None

Date of paper

13 September 2023