hcpc health & care professions council

Audit and Risk Assurance Committee, 15 March 2023

Matters Arising

Executive summary and recommendations

Attached is an actions list as agreed at the last public meeting of the Audit and Risk Assurance Committee.

9 November 2022		
1	**Item 7. Strategic Risk Register (report ref: ARAC 30/22)** 7.3 In response to a question, the Executive noted that phase 2 of the FtP software improvements programme was underway which would introduce controls ensuring data was captured at certain points. There was a difference between the data that was being captured now versus the historic imported data in HCPC systems, and that over time data would continue to improve. The Executive agreed to reflect not just data quality issues in Risk 3, but also its completeness and comprehensiveness.	Executive: Strategic Risk 3 has been updated to reflect completeness and comprehensiveness in relation to data issues.
2	**Item 9. External Audit 2021-2022 update (verbal)** 9.3 Haysmacintyre advised that the fieldwork for the final audit would most likely be conducted in June 2023 with a view to sign off in September 2023. This was, however, subject to the system changes planned by HCPC. This provisional timing would be reflected in the Committee's forward work plan.	Executive: Haysmacintyre's Audit planning report is being presented at this meeting and the provisional timings captured in the Committee's forward plan.

Decision

The Committee is requested to note the document. No decision is required.

Background information

Please refer to individual papers and minutes for the background to decisions.

Resource implications

None

Financial implications

None.

Appendices

None.

Date of paper

8 March 2023