
Audit Committee

The 71st meeting of the Audit Committee will take place as follows:

Date: Tuesday 5 November 2019

Time: 1 pm

Venue: Room K, Health and Care Professions Council, Park House,
184 Kennington Park Road, London SE11 4BU

Members: Sue Gallone (Chair)
Eileen Mullan
Julie Parker
Gavin Scott

Enquiries: Claire Amor, Secretary to the Committee
020 7840 9710
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Agenda

1. **Chairs welcome and introduction** verbal
2. **Apologies for absence** verbal
3. **Approval of agenda** verbal
4. **Declaration of members' interests** verbal

Private agenda

5. **Resolution**

The Committee is invited to adopt one or more of the following:

'The Committee hereby resolves that the remainder of the meeting shall be held in private, because the matters being discussed relate to one or more of the following;

- (a) information relating to a registrant, former registrant or applicant for registration;
- (b) information relating to an employee or officer holder, former employee or applicant for any post or office;
- (c) the terms of, or expenditure under, a tender or contract for the purchase or supply of goods or services or the acquisition or disposal of property;
- (d) negotiations or consultation concerning labour relations between the Council and its employees;
- (e) any issue relating to legal proceedings which are being contemplated or instituted by or against the Committee or the Council;
- (f) action being taken to prevent or detect crime or to prosecute offenders;
- (g) the source of information given to the Committee in confidence; or
- (h) any other matter which, in the opinion of the Chair, is confidential or the public disclosure of which would prejudice the effective discharge of the Committee's or Council's functions.'

Item	Reason for Exclusion
6	B /H

6. **Strategic Risk Register** – private discussion verbal
John Barwick – Interim Chief Executive

Public Agenda

7. **Minutes of the Audit Committee meeting of 10 September 2019** enclosure 1
Claire Amor – Secretary to the Committee AUD 33/19
8. **Matters arising** enclosure 2
Claire Amor – Secretary to the Committee AUD 34/19

Papers for discussion/decision

9. Papers from the HCPC's Internal Auditors, BDO LLP as follows;
 - I. **Internal Audit report – Fraud risk assessment**
For discussion enclosure 3
AUD 35/19
 - II. **Internal Audit report – Social Workers Transfer**
For discussion enclosure 4
AUD 36/19
 - III. **Internal audit plan progress report**
For approval enclosure 5
AUD 37/19
10. **Internal audit recommendations tracker**
To note enclosure 6
Claire Amor – Secretary to the Committee
AUD 38/19
11. **External Audit Planning Report 2019-20**
For discussion enclosure 7
Haysmacintyre LLP
National Audit Office
AUD 39/19
12. **National Audit Office Audit Planning Report - 2019-20**
For discussion enclosure 8
National Audit Office
AUD 40/19
13. Papers from Gordon Dixon, Interim Director of Finance as follows;
 - I. **Financial update**
For discussion verbal
 - II. **Application Of Capitalisation and Depreciation Policies**
For discussion enclosure 9
AUD 41/19
 - III. **Reserves policy review**
For discussion enclosure 10
AUD 42/19
 - IV. **Scheme of Delegation review**
For discussion enclosure 11
AUD 43/19
14. **Strategic Risk Register**
Roy Dunn, Chief Information Security and Risk Officer enclosure 12
AUD 44/19
15. **Social work risk register**
For discussion enclosure 13
Roy Dunn, Chief Information Security and Risk Officer
AUD 45/19
16. **Internal Assurance Report**
For discussion enclosure 14
AUD 46/19

Paula Lescott, Head of Quality Assurance
Roy Dunn, Chief Information Security and Risk Officer

To note

17. **Audit Committee forward work plan** enclosure 15
Sue Gallone, Chair of the Audit Committee AUD 47/19
18. **Any other business** verbal
Previously notified and agreed by the Chair
19. **Date & time of next meeting:** verbal
Tuesday 28 January 2020, time tbc - workshop